SHERPA RoMEO & JULIET
Enabling publisher and funder policies

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What?
Why?
How?
Where?
1 What?
SHERPA RoMEO

www.sherpa.ac.uk/romeo

provides summaries of archiving permissions and rights given to authors by journal publishers
Features

Search By: Journal Title, ISSN, Publisher Name, Country, RoMEO Colour

- Controlled Vocabulary
- Funder Compliance Indicators
- Use of Publishers PDF’s
- Paid for OA Options
- Web Interface & Open API
- International Partnerships
- Translations of Existing Records
Growing

Rights data on

1116 Publishers

19,700 Journals
provides summaries on the requirements of research funder mandates as they apply to research outputs
Features

List of Funder OA Requirements
Links to Policies    Sorting Under Various Headings

JISC
Centre for Research Communications
Numbers

Holds data on 100 Research Funder Policies

Including policies on OA Data Archiving, OA Publication Archiving and OA Publishing
I think you should see a lawyer before unleashing this new invention on mankind.

Later...

... I'm afraid my new invention will expose me to lots of lawsuits.

Will you advise me?

No. Sounds like I can make more money by suing you.

1989 United Feature Syndicate, Inc.
S. Adams 5-5
OA Timeline

The Business Case

1999
Open Archive Initiative (OAI)
Open Archive Initiative (OAI) launched, to develop a protocol that would allow repositories to expose metadata about the documents held in them

2000
OAI-Protocol for Metadata Harvesting
OAI-Protocol for Metadata Harvesting version 1.0 released — enabling the harvesting of metadata in repositories
E-Prints
Southampton University launched OAI compliant software

2002
OAIster
University of Michigan launched OAIster; a specialist search engine enabling users to search multiple repositories by means of a single interface
By 2002, authors and researchers wanted to continue publishing in the traditional manner, and then self-archive the full text of their research on their own website or institutional repository to maximise the impact of their research.
Major Obstacle
to the **SELF ARCHIVING** of research

Legal Rights Issues
Will Scientists Deposit in a Repository?

1: Yes if technically simple. 2: Yes if they and their immediate environment gain from it. 3: Yes if it causes no legal problems. 4: Yes if their funder requires it.

Source: Kurt Mehlhorn
RoMEO & JULIET
address 3 and 4
How?
RoMEO and JULIET

Behind the Scenes

Survey | Dialogue with Publishers & Funders
Interpret & Clarify | Consult & Seek Expert Advice
Summarise | Human & Machine Readable Interface
From THIS

Nature: http://www.nature.com/authors/policies/confidentiality.html
To this 1 Policy Summary in RoMEO

1. Journal Name: Nature (ISSN: 0028-0836, ESSN: 1476-4687)
2. RoMEO Colour: Yellow
3. If Journal has a paid OA option: No
4. Authors Pre-Print: Can archive pre-print (ie pre-refereeing)
5. Authors Post-Print: Can archive post-print (ie final draft post-refereeing)
6. Restrictions:
   - 6 months embargo
   - Published source must be acknowledged and DOI cited
   - Must link to publisher version
   - Publisher's version/PDF cannot be used
   - On funding body's archive, author website and institutional repository
   - If funding agency rules apply, authors may post authors version to their relevant funding body
   - Several Journals have paid open access options and licenses (see journal homepages)
   - Creative Commons Licenses available for selected titles
7. Publisher Version PDF: No
8. General Conditions: Awaiting information
9. Mandated OA: Open Access Hybrid Model - Selected Titles Only
10. Links to Publisher/Journal Policies:
    - Pre-publication policy - License to Publish - Manuscript Deposition Service
    - http://www.sherpa.ac.uk/romeo/issn/0028-0836/
11. Last Updated: 23-Sep-2011 - Suggest an update for this record

Nature Publishing Group - Yellow Policies in RoMEO

JISC Centre for Research Communications
# To this Policy Summary in JULIET

## Publications Policy

### Open Access Archiving
- **Whether to Archive**: Requires deposition in Open Access archives
- **What to Archive**: Peer-reviewed publications
  - Publisher's version and/or Author's final version
  - PDF file (Preferred)
- **When to Archive**: When accepted for publication
  - Acceptable embargo: up to 6 months after publication
- **Where to Archive**: In publicly available repositories
  - *UK PubMed Central* (Required)
- **Archiving Conditions**: The Wellcome Trust encourages authors to retain their copyright of their publications

### Open Access Publishing
- **Whether to Publish**: Encourages publication in Open Access publications
- **Where to Publish**: As an article with immediate open access
  - (Optional)
- **Publishing Conditions**: Costs of open access publication may be reimbursed by the Wellcome Trust

## General
- **General Conditions**: Effective for all new projects from 01-Oct-2005
- **Effective for all current projects from 01-Oct-2006**
- The Wellcome Trust encourages compliance for all earlier projects
- Applies to all projects funded directly or indirectly by the Wellcome Trust

## Data Archiving Policy
- **Whether to Archive**: Requires deposition in Open Access archives
- **What to Archive**: Research data
- **When to Archive**: Immediately after publication of results
- **Where to Archive**: Not specified
- **General Conditions**: Applies to research where the generation of datasets could be shared for added benefit
  - Users of data are expected to acknowledge sources of data and abide by terms and conditions under which they accessed the original data

## Policy Links
- **Policy Information**
  - *Grant Conditions*
  - *Authors FAQ*
- **Data Archiving Policy**
  - *Policy*
  - *OA Document*
Repeat this

100 times and you have JULIET
Repeat this

with 1,116 publishers and 19,700 journals and you have RoMEO
But wait ....
that’s not all
Challenges
Original RoMEO...
assumed all of a publishers journals had the same policy
Not the Case...

various combinations
Clients may have different policies.
Some Journals are special cases

Publisher

Policy

we follow the publishers policy

Client 01

we follow the publishers policy

Client 02

we follow the publishers policy

Journal’s

we follow points 1, 2 and 3 of publishers policy but we also have additional points 4, 5, and 6

but with a few exceptions for this

one exception and this

one
Service Model

KEEP IT SIMPLE!
Add New Policies

extensive initial survey
now 80% of policy additions
community requested
Maintain what we have ... resource intensive
Periodically review policies for currency and accuracy
Funding

2003-2006 not directly funded
2006 onwards JISC funding, augmented by RLUK, Wellcome & SPARC Europe
Currently JISC funding only
Business Model

100% JISC funded

Current business and revenue generation model not viable
Sustainability

Our resources are heavily relied upon by the OA community.

We do not yet have a sustainable infrastructure commensurate with peoples reliance upon us.
But there is Good News
Working with
UK Rep Net +
www.repositorynet.ac.uk
To Guarantee the Permanence of SHERPA RoMEO & JULIET
Over 2012 - 2013

Develop

More Robust Technological Backend Systems & Resources
Sustainable & Robust Revenue Streams
Review, Identify & Deliver Core Service Enhancements
Expand Growth of User Community Through Increased Outreach Activity
Seek to raise **Revenue**
to cover **operating costs** by:

- Working with Major Donors & Funders
- Exploring API Licensing
- Developing Business Partnerships
- Developing a Range of Premium Paid for Services
Are We Worth It?
Benefits

- Efficiency Gains at Sector Level
  - reduced duplication of effort - do it once & share
- Quality Assured Consistent & Authoritative Data
  - fully auditable - vetted by experts
- Reduced Risk of Litigation
- that can arise from each institution interpreting policies individually
- We are the Only Ones Doing this
  - over 6 years expertise
Usage Statistics

- Visits Per Week: 20,057
- Average Time On Site: 4 Mins
- Absolute Unique Visits: 9,342
- Requests Per Day for SHERPA RoMEO API: 250,000+
Thanks!

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