

# Copyright and Funders Mandates

SHERPA Plus – Birmingham

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# Today

- RoMEO
- JULIET
- Wellcome Trust Project

# Self-archiving

- The process by which an academic author deposits the metadata and an electronic full text of his/her publications in an open access repository or website, which is free to users.

# RoMEO

- **Publisher numbers** (259 listed on 09/03/07)
- **Search formats**
  - ISSN
  - Journal
  - Publisher

# RoMEO Colour Scheme

- Highlights publisher's archiving policies

<b>Green</b> (38%) Can archive both pre & post-prints	<b>Blue</b> (25%) Can archive post-print only
<b>Yellow</b> (10%) Can archive pre-print only	<b>White</b> (27%) Archiving not formally supported

*Figures accurate as of March 2007*

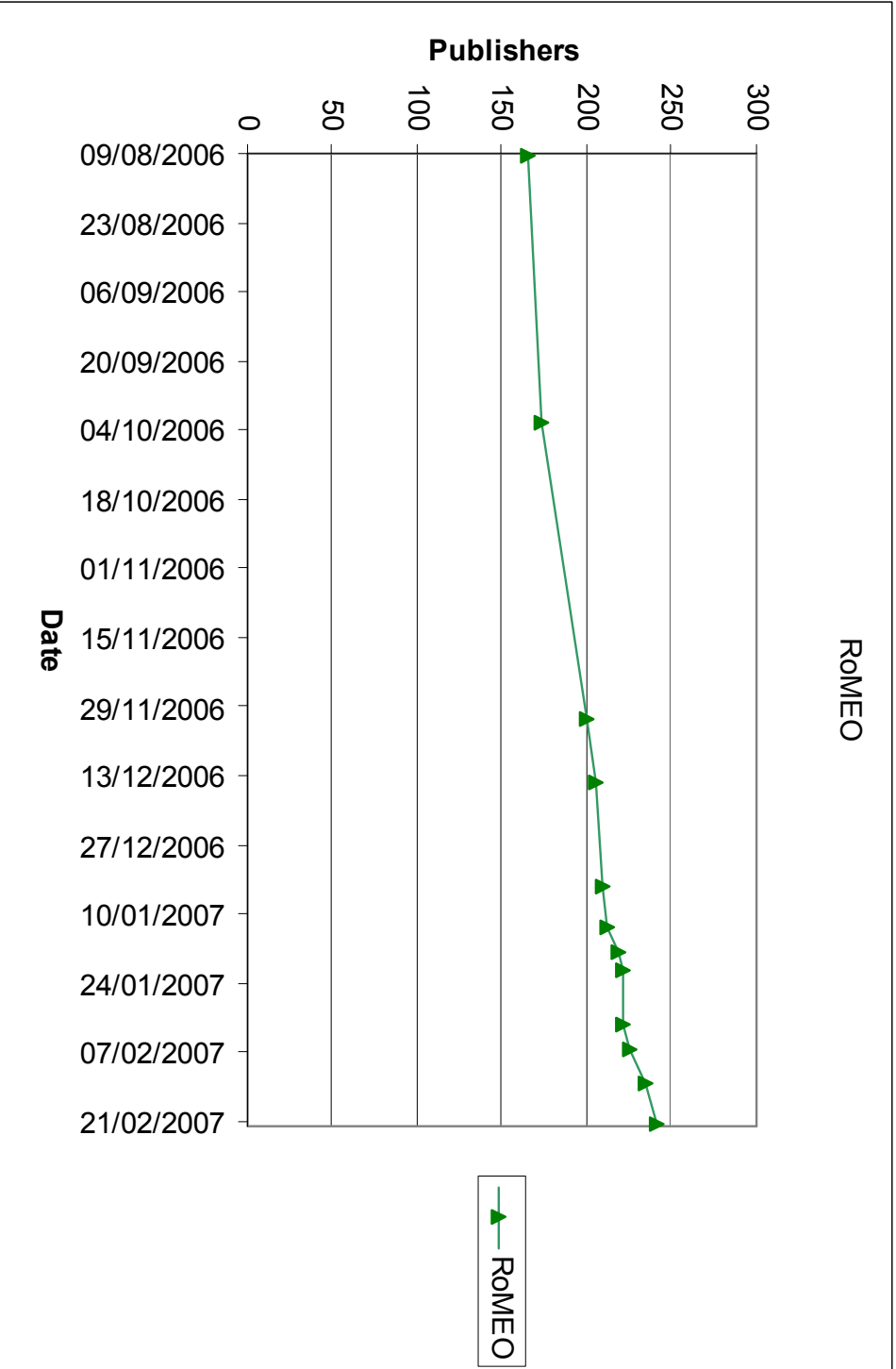
- Prohibitive restrictions reduce colour level

# Conditions & Restrictions

- Two forms of policy rule amendments
- **Conditions**
  - Can be easily accommodated
  - Do not hinder author archiving
    - E.g. *Publisher copyright & source must be acknowledged*
- **Restrictions**
  - Are more prohibitive
  - Require additional actions from author
  - May block public access to eprints
    - E.g. *4 year embargo on deposition*

# ROMEO

ROMEO



# Maintaining RoMEO

- **Publishers & Journals**
  - Information supplied by the British Library & Zetoc
  - Not all publishers as of yet included on RoMEO
- **New suggestions or updates**
  - Globally from publishers, academics, librarians or public
  - Generally email contact via online form
    - Number of regular contributors
  - Some publishers unknown by the BL
- **All suggestions & updates manually examined**
  - Ensures elimination of spam
  - Quality assures provided service information
  - Allows for bespoke response to each

# Simplified Procedure

- 1. Locate publisher's Web site**
  - Ensure scholarly publications
- 2. Find publisher's copyright transfer agreement (CTA)**
  - Generally under information for authors
  - May vary between different journals from same publisher
- 3. Translate CTA into RoMEO standardised phrases**
  - More readily understandable
  - Easy to compare publishers
- 4. Publisher contacted**
  - Proposed record presented allowing for clarification
  - Encourages Open Access compliance
- 5. Final record made live**
  - Pending further updates or policy changes
  - Updates to Zetoc & British Library

# RoMEO Challenges

- **No information online**
  - Publisher reluctance or uncertainty
  - Not all respond...a problem!
- **Conflicting information**
  - Two different sets of guidelines
  - Poorly phrased guidance
- **Unclear boundaries**
  - Common for learned societies using publishers
- **Changes to publisher permissions**
  - Try to keep site up to date
  - Some publisher rights change frequently
- **Increasing size of RoMEO data set**
  - Full time job

# Some Solutions

- **Communication with publishers & editors**
  - Electronically, via phone or in-person
- **Attempt to maintain good relations**
  - With individual publishers & key contacts
  - With RoMEO users globally
  - With the OA, academic & publishing communities
- **Viewpoint that OA is companion process**
  - Not in competition with publishers
  - Studies on ArXiv seem positive for this
- **Archiving status unclear**
  - Not ideal, but indicates activity.

# RoMEO - Developments

Wellcome Trust Project



# Wellcome Trust work

- Commissioned to determine publisher compliance with Wellcome Trust mandate
- Contacted publishers listed on RoMEO at time (185).
- Updated RoMEO accordingly

# Wellcome Trust Mandate

- **Whether to Archive:**
  - Deposit mandatory
- **What to Archive:**
  - Author's final version
  - Published version/pdf preferred
- **When to Archive:**
  - When accepted for publication
  - Acceptable embargo: up to 6 months after publication
- **Where to Archive:**
  - PubMed Central
  - UK PubMed Central
- **Conditions:**
  - Applies to all grants awarded after 1 October 2005
  - From 1 October 2006 to all grants regardless of award date

# Wellcome Trust - Results

- Oct 2006 (end of project)
  - 185 Publishers surveyed
  - 130 Biomedical
  - 70 (54%) Wellcome Trust compliant
  - 20 (15%) in discussion

# Wellcome Trust – Results (updated)

- Feb 2007
  - 249 publishers on RoMEO
  - 143 Biomedical
  - 91 (63%) Wellcome Trust compliant
  - 19 (13%) in discussion

# RoMEO Changes

- Created sections in RoMEO entries to display **Mandate compliance** and **Paid OA** options
- Expanded all entries to include compliance with other funding agencies listed on JULIET

# RoMEO - Backlog

- **Backlog**
  - Waiting for publishers to confirm policies
- Should we name and shame publishers that do not reply to enquiries?
  - In a few cases been waiting for 2 years, with reminders for a reply

# RoMEO Demo

- How to search RoMEO
- How to suggest publishers
- How to suggest changes to a journals publisher

# RoMEO Demo

- [Http://www.sherpa.ac.uk/romeo.php](http://www.sherpa.ac.uk/romeo.php)

SHERPA/RoMEO - Publisher copyright policies & self-archiving - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites

Address <http://www.sherpa.ac.uk/romeo.php> Go

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## Publisher copyright policies & self-archiving

Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

### Search

Search  **Journal titles**  **Publisher names**

for

find  **contains** or  **starts with** or  **Exact phrase only**  **ISSN**

### Browse

- [All publishers](#)
- [Green publishers](#)
- [Blue publishers](#)
- [Yellow publishers](#)
- [White publishers](#)

[What the colours mean.](#)

This service is maintained by SHERPA, with support from [JISC](#) and the [Wellcome Trust](#). It is a development of the original journal publishers' listings produced by the [RoMEO Project](#). Journal information is kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS. The information held here is available for use by third-parties under [conditions for re-use](#) and a Creative Commons licence. See a [list of sites](#) that are already using RoMEO data. A [prototype API](#) is available and being developed for m2m access: for example to be integrated into a repository's deposit process. [Statistics](#) are available for RoMEO colours allocated to the publishers in this list. [Contact us](#) for further information.

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SHERPA/RoMEO - Publisher copyright policies & self-archiving - Mozilla Firefox

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http://www.sherpa.ac.uk/romeo.php?title=Endocrinology+-Philadelphia-&issn=0013-7227&pub=ENDOCRINE+SOCIETY&sherpapub=Endocrine+Society

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## Publisher copyright policies & self-archiving

Journal: **Endocrinology -Philadelphia-** (ISSN: 0013-7227)  
 Publisher: **Endocrine Society**

These summaries are for the publishers *default* policies and changes or exceptions can often be negotiated by authors. *All information is correct to the best of our knowledge but should not be relied upon for legal advice.*

<b>Publisher:</b>	<a href="#">Endocrine Society</a>
<b>Pre-print:</b>	<b>✗</b> author <b>cannot</b> archive pre-print (ie pre-refereeing)
<b>Post-print:</b>	<b>✓</b> author <b>can</b> archive post-print (ie final draft post-refereeing)
<b>Conditions:</b>	<ul style="list-style-type: none"> <li>• On institutional repository, PubMed Central, UK PubMed Central and PubMed Central International</li> <li>• Author version only</li> <li>• Publisher's version/PDF cannot be used</li> <li>• May link to publisher version</li> </ul>
<b>Mandated OA:</b>	<b>✓</b> <a href="#">Wellcome Trust (Compliant)</a> ; <b>✓</b> <a href="#">ARC (Compliant)</a> ; <b>✓</b> <a href="#">BBSRC (Compliant)</a> ; <b>✓</b> <a href="#">MRC (Compliant)</a> ; <b>✓</b> <a href="#">NIH (Compliant)</a>
<b>Copyright:</b>	<a href="#">view policy</a> (pdf)
<b>RoMEO:</b>	This is a <a href="#">RoMEO blue</a> publisher
<b>Update:</b>	<a href="#">suggest update for this record</a>

### Search again?

Search  **Journal titles**  **Publisher names**

for

find  **contains** or  **starts with** or  **Exact phrase only**  **ISSN**

RoMEO colour	Archiving policy
<a href="#">green</a>	can archive pre-print and post-print
<a href="#">blue</a>	can archive post-print (ie final draft post-refereeing)
<a href="#">yellow</a>	can archive pre-print (ie pre-refereeing)
<a href="#">white</a>	archiving not formally supported
<a href="#">or</a>	<a href="#">View all publishers</a>

[more on colours and restrictions](#)

Journal information kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS.

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# RoMEO – The Future

- To provide improved policy information by journal
- Any suggestions on how to improve RoMEO?

# RoMEO

*Questions?*

# JULIET

- Currently 20 funders listed
- Awaiting 3 policies (UK)
- 11 UK
- 2 Australia
- 1 each Austria, France, Germany and USA
- 3 (and 1 awaiting) from charities

# JULIET - Developments

- Looking at contacting funding agencies and charities that fund research

# JULIET Demo

- How to use JULIET
- How to suggest Mandates

# JULIET Demo

- <http://www.sherpa.ac.uk/juliet/>

SHERPA - JULIET - Research funders' open access policies - Mozilla Firefox

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http://www.sherpa.ac.uk/juliet/

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## Research funders' open access policies

Use this page to find a summary of policies given by various research funders as part of their grant awards. Information on the JULIET breakdown of these policies is given in the [key](#) below the table.

Research Funder (linked to Juliet summary)	Whether to archive	What to archive	When to archive	Country
<a href="#">Arthritis Research Campaign (arc)</a>	✓	✓	-	United Kingdom
<a href="#">Arts and Humanities Research Council (AHRC)</a>	-	-	-	United Kingdom
<a href="#">Australian Research Council (ARC)</a>	-	✓	-	Australia
<a href="#">Biotechnology and Biological Sciences Research Council (BBSRC)</a>	✓	✓	-	United Kingdom
<a href="#">British Heart Foundation (BHF)</a>	✓	✓	-	United Kingdom
<a href="#">Cancer Research UK</a>	-	-	-	United Kingdom
<a href="#">Chief Scientist Office, Scottish Executive (CSO)</a>	✓	✓	-	United Kingdom
<a href="#">Council for the Central Laboratory of the Research Councils (CCLRC)</a>	-	✓	-	United Kingdom
<a href="#">Department of Health / National Institute for Health Research</a>	✓	✓	-	United Kingdom
<a href="#">Deutsche Forschungsgemeinschaft (DFG)</a>	-	✓	-	Germany
<a href="#">Economic and Social Research Council (ESRC)</a>	✓	✓	-	United Kingdom
<a href="#">Engineering and Physical Sciences Research Council (EPSRC)</a>	-	-	-	United Kingdom
<a href="#">Fonds zur Förderung der wissenschaftlichen Forschung (FWF)</a>	-	✓	-	Austria
<a href="#">Medical Research Council (MRC)</a>	✓	✓	-	United Kingdom
<a href="#">National Health and Medical Research Council (NHMRC)</a>	-	✓	-	Australia
<a href="#">National Institutes of Health (NIH)</a>	-	✓	-	United States
<a href="#">Natural Environment Research Council (NERC)</a>	✓	✓	-	United Kingdom
<a href="#">Particle Physics and Astronomy Research Council (PPARC)</a>	✓	✓	-	United Kingdom
<a href="#">Wellcome Trust</a>	✓	✓	-	United Kingdom

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SHERPA - JULIET - Arthritis Research Campaign - Mozilla Firefox

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http://www.sherpa.ac.uk/juliet/index.php?fid=14&fName=Arthritis%20Research%20Campaign

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## Research funders' open access policies

**Funder:** [Arthritis Research Campaign \(arc\)](#)

**Country:** United Kingdom

**Whether to Archive:** \* Deposit required

**What to Archive:** \* Authors final version

**When to Archive:** \* At the earliest possible opportunity  
\* Acceptable embargo: up to 6 months after publication

**Where to Archive:** \* In an open access repository such as [UK PubMed Central](#) or institutional repository

**Conditions:** \* Applies to all peer reviewed research papers published by grant holders (funded in whole or part) from 1st January 2007, regardless of award date

**Policy Links:** \* [Policy guidance](#)

**Juliet:** Supports Open Access to Research *with two ticks*

**Update:** [Suggest an update for this record](#)

*This information is accurate to the best of our knowledge, but should not be relied upon for legal advice.*

[Table of all Funders' Policies >>](#)

### JULIET and Support for Open Access to Research

The ideal support for Open Access to research would mandate open access dissemination of the final research outputs as a condition of grant without any embargo period. There are therefore three key parts to an ideal Open Access policy. JULIET assigns an Open Access tick when each condition is met.

**Key Conditions**

- ✓ Whether to Archive: Deposit required
- ✓ What to Archive: Author's final version or published PDF/version required
- ✓ When to Archive: When accepted for publication

**Other Conditions & Fields**

- \* Where to Archive: This condition is less essential for Open Access purposes, as long as the server used is stable and the material is made available for the long-term.
- \* Conditions: Conditions are noted where they apply to specific aspects of funder's requirements
- \* Policy Links: Links are given to policy information or advice that is available on-line
- \* Juliet: Funders are noted as supporting Open Access to research - with one, two or three ticks where appropriate.

Find: rtested in Find Next Find Previous Highlight all Match case Phrase not found

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start

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# JULIET

*Questions?*

